NOV 2 4 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

Werner K. Goetz, Michael D. Camras, Changhua Chen, Gina L.

Christenson, R. Scott Kern, Chihping Kuo, Paul S. Martin,

Daniel A. Steigerwald

Assignee:

Lumileds Lighting, U.S., LLC

Title:

Formation Of Ohmic Contacts In III-Nitride Light Emitting Devices

Serial No.:

10/721,440

Filing Date:

November 24, 2003

Examiner:

Dao H. Nguyen

Group Art Unit: 2818

Docket No.:

LUM-9047-2P-1D

San Jose, California November 24, 2004

Mail Stop RCE Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b)

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, the documents listed on the accompanying forms PTO/SB/08A are called to the attention of the Examiner for the above patent application. Copies of the cited US Patent documents are not enclosed as the requirement under 37 CFR 1.98 (a)(2)(i) is waived.

U.S. Patent 6,100,586 to Chen et al. teaches at column 7, lines 13-26:

"The p-contact 230 is composed of the metal layer 134 and the composite intermediate layer 232 sandwiched between the topmost p-type gallium nitride material layer 118 and the metal layer. The composite intermediate layer 232 is composed of layers of different semiconductors... The layers of the different semiconductors are arranged in order of band-gap energy, with the Group III-V semiconductor having the highest band-gap energy next to the topmost p-type gallium nitride material layer 118, and the Group III-V semiconductor having the lowest band-gap energy next to the metal layer 134."

At column 8, lines 26-29, Chen et al. teach:

"Each of the Group III-V semiconductor layers constituting the composite intermediate layer 232 is doped with an acceptor (p-type) impurity to as high an impurity level as possible."

Chen et al. therefore do not teach a "p-type layer having a varying composition and a varying concentration of a dopant" as recited in claim 7."

-1-

Serial No. 10/721,440

Patent Law Group LLP 2635 North First St. Suite 223 San Jose, CA 95134 (408) 382-0480 FAX (408) 382-0481

Citation of these documents shall not be construed as:

- 1. an admission that the documents are necessarily prior art with respect to the instant invention;
 - 2. a representation that a search has been made; or
- 3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

Certification of Facsimile Transmission

I hereby certify that this paper is being facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Katumar

11/24/04 Date Respectfully submitted,

Rachel V. Leiterman Attorney for Applicant(s)

Reg. No. 46,868

Patent Law Group LLP 2635 North First St. Suite 223 San Jose, CA 95134 (408) 382-0480 FAX (408) 382-0481 4083820481

PTQ/\$B/08A (08-03) Approved for use through 07/31/2006. OMB 0651-0031

Substitute for form 1449/PTO	o respond to a collection of information unloss it contains a valid QMB control number Complete if Known				
Substitute (d) (d) (1) (1)	Application Number	10/721,440			
WEADWATION DIGOLOGUEE	Filing Date	November 24, 2003			
INFORMATION DISCLOSURE	First Named Inventor	Werner K. Goetz			
STATEMENT BY APPLICANT	Art Unit	2818			
(Use as many sheets as nacassary)	Examiner Name	Thao P. Le			
hard 1 of 1	Attorney Docket Number	LUM-9047-2P-1D			

#	T 64-	Barrage March and		DOCUMENTS Namo of Patentee or	Pages, Columns, Lines, Where
Examiner Cite No.1	No.1	Number-Kind Code ^{2 (F brown)} Publication Date MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear	
	\vdash	US: 5,644,165 A	7-1997	Goto	
	 	^{US-} 5,998,810 A	12/1999	Hatano et al.	
	 	US- 6,100,586 A	8/2000	Chen et al.	
		^{US-} 6,121,127 A	9/2000	Shibata et al.	
		^{US-} 6,239,490 B1	5/2001	Yamada et al.	
		^{US-} 6,531,716 B2	3/2003	Udagawa	
		^{US-} 6,537,838 B2	3/2003	Stockman	
		US-			
		U\$-			
		US-			
		us.			
		US-		T	

	FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pagos, Columns, Lines, Where Relevant Passages	
		Country Code ³ "Number ⁴ "Kind Code ⁵ (# known)	MM-DD-YYYY	7 0 200000000000000000000000000000000000	Or Relevant Figures Appear	18
						-
					-	┢

Examiner Signature	Date	
Signatura	Considered	<u> </u>

*EXAMINER: Initial it reference considered, whether or not citation is in conformance with MPEP 509. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emparor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language

the appropriate symbols as indicated on the occurrent under VVPO statituding 31.10 in possible to a straighted.

Translation is arrached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or rotain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.